

Alternative and Workgroup Vote Guidance

Following the implementation of Open Governance on the Grid Code there can be up to two stages of vote which can take place.

Please be aware that in order to be eligible to participate in any votes, Workgroup members need to have attended at least 50% of meetings.

Stage 1 - Alternative Vote

This will take place if any alternative options to the Original solution have been identified. The Alternative vote is carried out to identify the level of Workgroup support there is for any potential alternative options that have been brought forward by either any member of the Workgroup OR an Industry Participant as part of the Workgroup Consultation.

Once the potential alternative form has been fully completed and the potential alternative solution has been discussed within the Workgroup, the Workgroup will then decide whether the potential alternative better facilitates the Grid Code objectives in comparison to the Grid Code as it is today (the baseline).

Should the majority of the Workgroup (as per paragraph GR20.15) OR the Chairman believe that the potential alternative solution would better facilitate the Grid Code objectives then the potential alternative will be fully developed by the Workgroup with legal text to form a Workgroup Alternative Code Modification (WACM) and submitted to the Panel and Authority alongside the Original solution for the Panel Recommendation vote and the Authority decision.

Stage 2 - Workgroup Vote

This Workgroup vote is not outlined within the Governance Rules of the Grid Code however it is stated within the Terms of Reference for each Workgroup.

The Terms of Reference and Workgroup vote process were agreed by the Grid Code Review Panel following the introduction of Open Governance.

It was decided that to assist both the Panel, Industry (within the Code Administrator Consultation) and lastly the Authority in understanding the views of the Workgroup that it would be beneficial to hold a vote ahead of sending the Workgroup Report back to the Panel.

The Workgroup vote will consist of two rounds. The first round will be conclude if the Original proposal and/or any WACMs better facilitate the Grid Code objectives. The second round will be to vote which of the options is best.

You will also be asked to provide a statement to be added to the Workgroup Report alongside your vote to assist the reader in understanding the rationale for your vote.

The Grid Code Objectives are as follows:

- i. to permit the development, maintenance and operation of an efficient, coordinated and economical system for the transmission of electricity;
- ii. to facilitate competition in the generation and supply of electricity (and without limiting the foregoing, to facilitate the national electricity transmission system being made available to persons authorised to supply or generate electricity on terms which neither prevent nor restrict competition in the supply or generation of electricity);
- iii. subject to sub-paragraphs (i) and (ii), to promote the security and efficiency of the electricity generation, transmission and distribution systems in the national electricity transmission system operator area taken as a whole;
- iv. to efficiently discharge the obligations imposed upon the licensee by this license and to comply with the Electricity Regulation and any relevant legally binding decisions of the European Commission and/or the Agency; and
- v. to promote efficiency in the implementation and administration of the Grid Code arrangements.

Potential Alternatives Options

Does the alternative option better facilitate the Grid Code objectives when compared to the baseline? (i.e. What we have in the Grid Code today)

This question will be asked of all Workgroup members, the potential alternative must be supported by the **majority** of the Workgroup OR the Chair of the meeting

Member	Option 1	Option 2
High level description of option		
Supported by:		
(Proposer)		
WG member 1		
WG member 2		
WG member 3		
WG member 4		
WG member 5		

Overall	/xx	
Supported by Chair if applicable (yes / no)		
WACM Reference	WACM1	WAM22

Vote 1 – does the original or WACM facilitate the objectives better than the Baseline?

Workgroup Member	Better facilitates AGCO (i)	Better facilitates AGCO (ii)?	Better facilitates AGCO (iii)?	Better facilitates AGCO (vi)?	Better facilitates AGCO (v)?	Overall (Y/N)
WG Member 1						
Original						
Voting Statement:						
WG Member 2						
Original						
Voting Statement:						
WG Member 3						
Original						
Voting Statement:						
WG Member 4						
Original						
Voting Statement:						
WG Member 5						
Original						
Voting Statement:						
WG Member 6						
Original						
Voting Statement:						

Vote 2 – Which option is the best?

Workgroup Member	BEST Option?
WG Member 1	
WG Member 2	
WG Member 3	
WG Member 4	
WG Member 5	

Vote recording guidelines:

“Y” = Yes

“N” = No

“-“ = Neutral