

# ***STCP 09-1 Issue 004 Safety Co-ordination between Parties***

## **STC Procedure Document Authorisation**

<b>Party</b>	<b>Name of Party Representative</b>	<b>Signature</b>	<b>Date</b>
National Grid Electricity Transmission plc			
SP Transmission Ltd			
Scottish Hydro-Electric Transmission Ltd			
Offshore Transmission Owners			

## ***STC Procedure Change Control History***

Issue 001	29/03/2005	BETTA Go-Live Version
Issue 002	26/05/2005	Issue 002 incorporating PA006
Issue 003	26/10/2005	Issue 003 incorporating PA034 and PA037
Issue 004	28/07/2009	Issue 004 incorporating changes for Offshore Transmission

# 1 Introduction

## 1.1 Scope

- 1.1.1 This procedure specifies the procedures to be used by each TO for the co-ordination, establishment and maintenance of necessary Safety Precautions when:
- work is to be carried out on a Party's Plant and/or Apparatus that, to be done safely, requires Safety Precautions to be established and maintained on another Party's Transmission System (and/or on another System connected to that Party's Transmission System); and/or
  - a User requires Safety Precautions from one Party under OC8 of the Grid Code and this requires Safety Precautions on another Party's Transmission System (and/or on another System connected to that Party's Transmission System).
- 1.1.2 In this procedure, the term "work" includes testing, other than tests covered by STCPs 08-1 to 08-4.
- 1.1.3 Where section 1.1.1 applies and this requires Safety Precautions to be requested on a User System connected to the relevant Party's Transmission System, then the Procedures under OC8 of the Grid Code shall be followed.
- 1.1.4 This procedure does not apply where Safety Precautions are required solely within one Party's Transmission System.
- 1.1.5 This procedure does not seek to impose a particular set of Safety Rules on any other TO. Each Party may adopt and implement its own Safety Rules.

## 2 Abbreviations & Definitions

### *Abbreviations*

STCP System Operator –Transmission Owner Code Procedure

TO Transmission Owner

OC8 Grid Code Operating Code 8

### *Definitions*

All definitions are in accordance with primarily the Grid Code definitions and definitions within the STCPs

## 3 Procedure

### *3.1 Safety Co-ordinators*

- 3.1.1 Each Party will have available at all times, nominated personnel responsible for the co-ordination of Safety Precautions across a boundary with another Party's Transmission System ("Safety Co-ordinator"). Each Party's Safety Co-ordinator will be authorised as competent by that Party to carry out the functions set out or referred to in this procedure and the relevant sections of the Grid Code OC8, to achieve Safety From The System.

### *3.2 Record of Inter-System Safety Precautions*

- 3.2.1 Where one Party ("the first Party") requests Safety Precautions from another Party ("the second Party") and this requires Safety Precautions on a User's System connected to the second Party's

Transmission System), then for the purposes of the Grid Code OC8, the second Party shall act as the Requesting Safety Co-ordinator.

- 3.2.2 Where a User requests Safety Precautions from one Party ("the first Party") under OC8 of the Grid Code, and this requires Safety Precautions on another Party's Transmission System (and/or on another System connected to that Party's Transmission System), then for the purposes of this procedure, the first Party shall act as the Requesting Safety Co-ordinator.
- 3.2.3 The Parties shall use the format of the RISSP forms as set out in OC8 of the Grid Code. Each TO connected England and Wales shall use the RISSP forms in OC8A and each TO connected to Scotland shall use the RISSP forms in OC8B. That set out in OC8A Appendix A and designated as "RISSP-R", shall be the Requesting Safety Coordinator, and that in OC8A Appendix B and designated as "RISSP-I", shall be the Implementing Safety Co-ordinator. That set out in OC8B Appendix A and designated as "RISSP-R", shall be used by a TO when that TO is the Requesting Safety Co-ordinator, and that in OC8B Appendix B and designated as "RISSP-I" shall be used by a TO when that TO is the Implementing Safety Coordinator.
- 3.2.4 RISSP-R will have an identifying number written or printed on it, comprising a prefix which identifies the location at which it is issued, and a unique serial number and the suffix "R".

### **3.3 Agreement of Safety Precautions**

- 3.3.1 For the purposes of this STCP 09-1, the Safety Co-ordinator of the Party requesting Safety Precautions will be referred to as the "Requesting Safety Co-ordinator" and the Safety Co-ordinator of the Party being requested and implementing the Safety Precautions will be referred to as the "Implementing Safety Co-ordinator". The Requesting Safety Co-ordinator who requires Safety Precautions on another System will contact the Implementing Safety Co-ordinator to agree the Location at which the Safety Precautions will be established. This agreement will be recorded in the respective Safety Logs.
- 3.3.2 It is the responsibility of the Implementing Safety Co-ordinator to ensure that adequate Safety Precautions are established and maintained, on the System of the respective Implementing Safety Co-ordinator and/or another System connected to the System of the respective Implementing Safety Co-ordinator, to enable Safety From The System to be achieved on the HV Apparatus, specified by the Requesting Safety Co-ordinator which is to be identified in Part 1.1 of the RISSP. Reference to another System in this STCP 09-1 shall not include the Requesting Safety Co-ordinator's System, which is dealt with in section 3.3.3.
- 3.3.3 When the Implementing Safety Co-ordinator is of the reasonable opinion that it is necessary for Safety Precautions on the Transmission System of the Requesting Safety Co-ordinator, other than on the HV Apparatus specified by the Requesting Safety Co-ordinator, which is to be identified in Part 1.1 of the RISSP, he shall contact the Requesting Safety Co-ordinator and the details shall be recorded in Part 1.1 of the RISSP forms. In these circumstances, it is the responsibility of the Requesting Safety Co-ordinator to establish and maintain such Safety Precautions.
- 3.3.4 Where in the reasonable opinion of the Implementing Safety Co-ordinator, it is necessary to establish Safety Precautions on the System of a User connected to the Transmission System of the Implementing Safety Co-ordinator, then the Safety Precautions shall be established with the User using the provisions of OC8A, if that User is connected in England and Wales and OC8B, if that User is connected in Scotland.

### **3.4 Implementation of Isolation**

- 3.4.1 Following the agreement of the Safety Precautions in accordance with section 3.3, the Implementing Safety Co-ordinator shall then establish the agreed Isolation.
- 3.4.2 The Implementing Safety Co-ordinator shall then confirm to the Requesting Safety Co-ordinator that the agreed Isolation has been established and identify the Requesting Safety Co-ordinator's HV Apparatus up to the boundary between each of the relevant Parties' Transmission Systems for which Isolation has been provided.

The confirmation shall specify:

- 3.4.2.1 for each Location, the identity (by means of HV Apparatus name, nomenclature and numbering or position, as is applicable) of each point of Isolation;
- 3.4.2.2 Whether Isolation has been achieved by an Isolating Device in the isolating position or by an adequate physical separation;
- 3.4.2.3 Where an Isolating Device has been used whether the isolating position is either:
  - maintained by immobilising and Locking the Isolating Device in the isolating position and affixing a Caution Notice to it. Where the Isolating Device has been Locked with a Safety Key that the Safety Key has been secured in a Key Safe and the Key Safe Key will be retained in safe custody; or
  - maintained and/or secured by such other method which must be in accordance with the Safety Rules of the relevant Party, as the case may be; and
- 3.4.2.4 where an adequate physical separation has been used such separation shall be in accordance with, and maintained by, the method set out in the Safety Rules of the relevant Party and may include the placing of a Caution Notice at the point of separation.
- 3.4.3 The confirmation of Isolation shall be recorded in the respective Safety Logs.

### **3.5 Implementation of Earthing**

- 3.5.1 Following confirmation of Isolation being established by the Implementing Safety Coordinator and the necessary establishment of relevant Isolation on the Requesting Safety Co-ordinators System, the Requesting Safety Co-ordinator may then request the implementation of Earthing, if agreed in section 3.3.
- 3.5.2 The agreement to the application of Earthing shall be recorded in the respective Safety Logs.
- 3.5.3 The Implementing Safety Co-ordinator shall then establish the agreed Earthing.
- 3.5.4 The Implementing Safety Co-ordinator shall then confirm to the Requesting Safety Co-ordinator that the agreed Earthing has been established, and identify the Requesting Safety Co-ordinators HV Apparatus up to the boundary between each of the relevant Parties' Transmission Systems for which the Earthing has been provided.

The confirmation shall specify:
- 3.5.4.1 for each Location, the identity (by means of HV Apparatus name, nomenclature and numbering or position, as is applicable) of each point of Earthing; and
- 3.5.4.2 in respect of the Earthing Device used, whether it is:
  - immobilised and Locked in the Earthing position. Where the Earthing Device has been Locked with a Safety Key, that the Safety Key has been secured in a Key Safe and the Key Safe Key will be retained in safe custody; or
  - maintained and/or secured in position by such other method which is in accordance with the Safety Rules of the relevant party, as the case may be.
- 3.5.5 The Implementing Safety Co-ordinator shall ensure that the established Safety Precautions are maintained until they have been requested to be removed by the relevant Requesting Safety Co-ordinator.

### **3.6 Recording of Safety Precautions**

- 3.6.1 Where Safety Precautions on another System(s) are being provided to enable work on the Requesting Safety Co-ordinator's Transmission System, before any work commences, they must be recorded by a RISSP being issued. The RISSP is applicable to HV Apparatus up to the boundary between each of the relevant Parties' Transmission Systems, identified in section 1.1 of the RISSP-R and RISSP-I forms.
- 3.6.2 Where Safety Precautions are being provided to enable work to be carried out on both sides of the boundary, a RISSP will need to be issued for each side of the boundary and both Parties will each be enacting the role of Requesting Safety Coordinator. This will result in a RISSP-R and a RISSP-I form being completed by each Party with each Safety Co-ordinator issuing one RISSP number.
- 3.6.3 Following confirmation that all the agreed Safety Precautions have been established, the Implementing Safety Co-ordinator will record the details of the Safety Precautions established in Parts 1.1. and 1.2 of his RISSP-I. Where Earthing was not requested, Part 1.2(b) of the RISSP-I will be completed with the words "not applicable" or "N/A".
- 3.6.4 The Implementing Safety Co-ordinator shall then contact the Requesting Safety Coordinator and confirm that all agreed Safety Precautions have been established by reading out the details entered on Parts 1.1 and 1.2 of RISSP-I.
- 3.6.5 The Requesting Safety Co-ordinator will then complete Parts 1.1 and 1.2 of his RISSP-R with the precise details received from the Implementing Safety Coordinator, and then read out all those details to the Implementing Safety Co-ordinator. If both confirm that the details entered are the same, the Requesting Safety Coordinator shall issue the RISSP identifying number, taken from the RISSP-R to the Implementing Safety Co-ordinator, who shall ensure that the number is correctly entered on the RISSP-I. Each Safety Co-ordinator shall then sign Part 1.3 of their respective RISSPs and enter the time and date. When signed the RISSP may only be cancelled - no alteration to the RISSP is permitted.
- 3.6.6 The Requesting Safety Co-ordinator is then free to authorise work, other than testing. Where testing is to be carried out, the procedure set out below in section 3.7 shall be implemented.

### **3.7 Testing**

- 3.7.1 Where the Requesting Safety Co-ordinator wishes to authorise the carrying out of a test to which the procedures in STCP 09-1 apply, the Requesting Safety Co-ordinator may not do so and the test will not take place unless the following steps are followed and confirmation of completion has been recorded in the respective Safety Logs:
- 3.7.1.1 confirmation is obtained from the Implementing Safety Co-ordinator that:
- no person is working on, or testing, or has been authorised to work on, or test, any part of its Transmission System or another System(s) (other than the Transmission System of the Requesting Safety Co-ordinator) within the points of Isolation identified on the RISSP form, relating to the test which is proposed to be undertaken, and,
  - no person will be so authorised until the proposed test has been completed (or cancelled) and the Requesting Safety Co-ordinator has notified the Implementing Safety Co-ordinator of its completion (or cancellation);
- 3.7.1.2 any other current RISSPs which relate to the parts of the System in which the testing is to take place must have been cancelled in accordance with procedures set out in section 3.8;
- 3.7.1.3 the Implementing Safety Co-ordinator must agree with the Requesting Safety Coordinator to permit the testing on that part of the System between the points of Isolation identified in the RISSP associated with the test and the points of Isolation on the Requesting Safety Co-ordinator's System.

- 3.7.2 The Requesting Safety Co-ordinator will inform the Implementing Safety Co-ordinator as soon as the test has been completed or cancelled, and the confirmation shall be recorded in the respective Safety Logs.
- 3.7.3 When the test gives rise to the removal of Earthing, which it is not intended to reapply, the relevant RISSP associated with the test shall be cancelled at the completion or cancellation of the test, in accordance with the procedure set out in section 3.8. Where the Earthing is re-applied following the completion or cancellation of the test, there is no requirement to cancel the relevant RISSP, associated with the test pursuant to this STCP 09-1.

### **3.8 Cancellation**

- 3.8.1 When the Requesting Safety Co-ordinator decides that Safety Precautions are no longer required, the Requesting Safety Co-ordinator will confirm to the Implementing Safety Co-ordinator that the Safety Precautions that are associated with a RISSP identification number, are no longer required. Both Parties' Safety Co-ordinators shall agree that the details entered on Part 1.1 and Part 1.2 are identical on each Party's relevant respective RISSP-R and RISSP-I forms before commencing the cancellation procedure.
- 3.8.2 Each Safety Co-ordinator shall then sign Part 2 of their respective RISSP-R and RISSP-I forms and enter the time and date. When each Safety Co-ordinator has confirmed to the other their respective names, and entered on the RISSP form the time and date, the respective RISSP is cancelled.
- 3.8.3 Neither Safety Co-ordinator shall instruct the removal of any Isolation forming part of the Safety Precautions until each confirms to the other that all Earthing within the points of Isolation identified on the RISSP has been removed or disconnected by the provision of additional points of Isolation.
- 3.8.4 This confirmation shall be recorded in the respective Safety Log.
- 3.8.5 Subject to the provisions of section 3.7 the Implementing Safety Co-ordinator is then free to arrange the removal of the Safety Precautions, the procedure to achieve that being entirely an internal matter for the Party, which the Implementing Safety Co-ordinator is representing. The only situation in which any Safety Precautions may be removed without first cancelling the RISSP in accordance with this section 3.8, is when Earthing is removed to facilitate testing under section 3.7.

## **4 Loss of Integrity of Safety Precautions**

- 4.1.1 In any instance when any Safety Precautions may be ineffective for any reason, the Implementing Safety Co-ordinator shall inform the Requesting Safety Co-ordinator without delay of that being the case and, if requested, of the reasons why.

## **5 Safety Log**

- 5.1.1 Each Safety Co-ordinator shall maintain a Safety Log, which shall be a chronological record of all messages relating to safety co-ordination under STCP 09-1 sent and received by the Safety Co-ordinator(s). The Safety Log must be retained for a period of not less than three years.

## **6 List of Authorised Persons**

- 6.1.1 Each Party shall give notice to all the TO's that they are connected to in writing of its Safety Co-ordinators and will update the written notice yearly and whenever there is a change to the identity of its Safety Coordinators, in respect of this STCP 09-1.

## **7 Co-ordination of TO boundaries**

- 7.1.1 The Parties shall agree and confirm in writing the safety boundaries between the TO's in accordance with the arrangement set out in the relevant sections of the grid code connections conditions.