National Grid UK Electricity Transmission plc

NATIONAL SAFETY INSTRUCTION 11

and

Guidance

WORK ON OR NEAR TO HIGH VOLTAGE CAPACITORS



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DOCUMENT HISTORY

Issue	Date	Summary of Changes / Reason	Author(s)	Approved By (Title)
1	Sept 2008	Reformatted and re-drafted to follow 3 rd edition Electricity Safety Rules layout. Safety bulletin 147, incorporated.	NSI Working Group	MDE Manager Les Adams
2	April 2011	Annual review; document amended as detailed below and minor text changes as highlighted in yellow.	NSI Review Group	MDE Manager Les Adams
3	April 2014	Renamed as "National Safety Instruction and Guidance" which now incorporates and replaces NSI 11 Issue 3 and NSI 11 Guidance Issue 2.	NSI Review Group	ETAM Operations North Manager Mike Dean
4	Oct 2020	Major reformatting & revision. Renamed as "Work on or near to High Voltage Capacitors".	Electricity Transmission Operations Safety Rules Team	Head of ET Operations Matt Staley
5	May 2023	Minor amendments	Electricity Transmission Operations Safety Rules Team	Head of ET Operations Andy Richardson

KEY CHANGES

Section	Amendments		
Purpose & Scope	Clarification that NG Personnel (Competent Person & Senior Authorised Person) need to be authorised to this NSI to work on the Equipment but that other Working party members do not.		

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1 Purpose and Scope

To apply the principles established by the Safety Rules and provide guidance on National Safety Instruction 11 for **Personne**I, working on or near to **High Voltage** Capacitors including the dissipation of stored energy.

National Safety Instruction 11 applies to all Capacitor Banks including those fitted with a *Shorting Switch(es)*. This document describes the safety measures that are required when working on or near to *Capacitor Banks*. Most importantly, *Shorting Switch(es)* <u>do not</u> dissipate the **Charged** energy stored in externally fused capacitors, where the external fuse has operated.

National Safety Instruction 11 applies to Capacitors installed in;

- Mechanically Switched Capacitors (MSCs & MSCDNs)
- Static VAR Compensators (SVCs, RSVCs & DRCs)
- Series Compensators (TCSCs & SSSCs)
- Harmonic Filters

NSI 11 also applies to all the other components of these circuits (i.e. Resistors, Reactors, Surge Arresters, Current Transformers etc) when located in the same discrete or fenced off areas with the Capacitor Banks.

In some applications of Capacitors, implementing safety precautions as detailed in NSI 2 is sufficient to ensure that the Capacitor will be discharged. Examples of such **Equipment** (which do not require **Personnel** to be formally appointed to this NSI) are:-

- Circuit Breaker Grading Capacitors
- Capacitor Voltage Transformers
- Line traps
- 13kV Earthing Capacitors (typically found on 13kV Shunt Reactor circuits)

Guidance on the application of NSI 11 to specific circuit applications can be found in Technical Guidance Note – TGN(E) 320 – Application of NSI 11 to Common HV Capacitor Installations.

National Grid **Personnel (Competent Person & Senior Authorised Person)** working on or near to **High Voltage** Capacitors shall be appointed to this NSI, but members of the **Working Party** do not. For Contractor appointments see Appendix A.

The layout of this guidance note reflects that of legislative codes of practice, where the rule (or mandatory obligation) is identified by a green panel on the left-hand side. The guidance follows the rule and is identified by a blue panel.

Within National Grid, guidance notes hold equivalent status of an Approved Code of Practice (ACOP) in law. If not followed, you will be required to demonstrate that your safe system of work is of an equal or higher standard.

2 Definitions

Terms printed in bold type are as defined in the Safety Rules.

Title	Definition	
Capacitor Element	A singular device for the purpose of storing electrical charge, consisting essentially of two electrodes separated by a dielectric.	
Capacitor Unit	An assembly of one or more capacitor elements in the same container with terminals brought out by one or more bushings.	
Capacitor Rack	An individual framework containing <i>Capacitor Unit(s)</i> which can be connected together.	
Capacitor Stack	An assembly of one or more <i>Capacitor Rack(s)</i> connected together. A <i>Capacitor Stack</i> may contain <i>Capacitor Unit(s)</i> from one or more discrete components of the Capacitor Bank.	
Capacitor Bank	An assembly of one or more <i>Capacitor Stack(s)</i> as part of an High Voltage Circuit (i.e. MSCDN, SVC, etc). There may be more than one <i>Capacitor Bank</i> in a High Voltage circuit (i.e. Bank A, B, C)	
Discharge Stick	A type registered device for the purpose of discharging a <i>Capacitor Unit</i> which may be Charged.	
Shorting Switch	A fixed device for short-circuiting and earthing Capacitor Stack(s)	
Short-Circuiting Lead	ead A Type Registered lead used for short-circuiting an individu <i>Capacitor Unit</i> . This can be a clip-on short used during the disconnection of <i>Capacitor Unit</i> or a bolt-on short used during the removal.	
Technical Specialist	An individual with detailed specialist technical knowledge to assist when required in the safe installation, preparation for work, maintenance and removal of <i>Capacitor Unit(s)</i> .	
Rack Earthing Spigot A point on a Capacitor Rack for the application of an Eart Device.		
Rack Earth(s)	Portable Drain Earth(s) provided for the purpose of earthing and/or short-circuiting <i>Capacitor Units</i> or groups of units and the <i>Capacitor Rack(s)</i> containing them.	
Resistive Discharge Device	A type registered device for the purpose of discharging a DC <i>Capacitor Unit</i> which may be Charged , at a rate which is not detrimental to the Equipment .	

Type Registered Portable Earthing Devices are recorded in TRL 2.02.

3 Dangers

The System Danger(s) to Personnel are electric shock, burns and effects on eyes arising from:-

- a. The discharge of electrical energy retained by the Capacitor Unit(s) after they have been Isolated
- b. Inadequate precautions to guard against electric shock as a result of any **Charged** conductors or associated fittings
- c. Charged capacitors inadequately short circuited
- d. Equipment retaining or re-gaining a charge

NSI11 4.1 to 4.3	4	General Requirements for Work
	4.1	The circuit shall be Isolated , Point(s) of Isolation established and Primary Earth(s) applied.
	4.2	<i>Shorting Switches</i> , where installed on the <i>Capacitor Stacks(s)</i> , shall be closed by a Senior Authorised Person under the instruction of a Control Person (OS) 1 .
	4.3	A Permit for Work or Sanction for Work shall be issued.
Guidance NSI 11 4.2 to 4.3	4	General Requirements for Work
4.2 10 4.5	4.2	<i>Shorting Switch(es)</i> are not normally remotely controlled and as such they shall be operated locally under the instruction of a <u>local</u> Control Person (OS) 1 , as defined in Management Procedure - NSI 30 Authorisation of Personnel.
		The Senior Authorised Person shall act as the local Control Person (OS) 1 to close the <i>Shorting Switch(es)</i> prior to the issue of the Safety Document. The closed <i>Shorting Switch(es)</i> shall be stated on the Safety Document and shall form the record of the safety precautions established. This shall be identified in Section 2 of the Safety Document "Actions taken to avoid Danger".
		Where <i>Shorting Switch(es)</i> are to be maintained, they shall be initially closed, but may be opened after the issue of a Safety Document , as a precaution that may be varied by the appropriate Safety Document holder. An Earthing Schedule shall be issued. The <i>Shorting Switch(es)</i> closed shall be identified in Section 2 of the Safety Document "Actions taken to avoid Danger" The <i>Shorting Switch(es)</i> to be opened shall be identified in Section 3 of the Safety Document 'Precautions that may be varied'.
	4.3	Guidance on the application of NSI 11 to specific circuit applications can be found in TGN(E) 320.
		SAFETY WARNING - The Senior Authorised Person issuing the Safety Document shall ensure the time difference between the completion of the isolation of the <i>Capacitor Bank</i> (as recorded on the Switching Instruction) and the time of issue of the Safety Document to the Competent Person shall be a minimum of 20 minutes. This is to allow sufficient time for the <i>Capacitor Bank</i> to discharge via the <i>Capacitor Unit</i> discharge resistors.

NSI11 5.1 to 5.3	5	Work on or near to AC Capacitor Units
	5.1	When work is to be carried out on or near to <i>Capacitor Unit(s)</i> , in addition to the requirements of 4.1 to 4.3, the Senior Authorised Person shall assess the work and decide whether it shall be carried out by one of the following methods;
		• By implementing control measures which ensure that Personnel and objects maintain a minimum Safety Distance of 0.8m from any exposed <i>Capacitor Unit(s)</i> .
		 Or, if infringement within 0.8m from any exposed Capacitor Unit(s) is required, Danger from Capacitors which may remain Charged shall be excluded by the application of Section 5.2.
	5.2	When work is required on a <i>Capacitor Unit(s)</i> , An Earthing Schedule shall be issued specifying the application of Drain Earth(s) , <i>Rack Earth(s)</i> , <i>Discharge Stick(s)</i> and <i>Short-Circuiting Lead(s)</i> as follows;
		• <i>Capacitor Unit(s)</i> shall first be visually inspected at a distance no less than 0.8m from exposed <i>Capacitor Unit</i> terminals, Charged Capacitor <i>Rack(s)</i> , fuse(s) or associated busbars and connections to identify any abnormal <i>Capacitor Unit(s)</i> .
		• When carrying out discharging activities, encroachment is permitted up to a distance no less than 0.4m from exposed <i>Capacitor Unit</i> terminals, Charged <i>Capacitor Rack(s)</i> , fuse(s) or associated busbars and connections. The reduction in distance takes into account the skill of the Personnel operating the <i>Discharge Stick(s)</i> and practical considerations.
		• Each <i>Capacitor Stack</i> to be worked on shall be short-circuited and Earthed . Resistors and Reactors shall not be considered as a continuous electrical connection therefore this will typically require the use of a Drain Earth between every <i>Capacitor Stack</i> and Reactor.
		• Capacitor Rack(s) shall where reasonably practicable be discharged by the application of a Rack Earth to a Rack Earthing Spigot. Where this is not reasonably practicable, Capacitor Rack(s) shall be discharged by the temporary application of a Discharge Stick whose clip-on end is connected to Earth. This Discharge Stick shall remain in situ until all Capacitor Unit(s) in that Capacitor Rack have been discharged.
		 Once a Capacitor Rack has been discharged, apply the clip-on end of a Discharge Stick(s) to the rack metalwork and proceed to discharge Capacitor Unit(s) within that rack.
		 Repeat above steps on Capacitor Rack(s) from ground level up to the rack to be worked on, plus a minimum of one rack above, within the Capacitor Stack to be worked on.
		 No conductors within a <i>Capacitor Stack</i> shall be disconnected before all <i>Capacitor Unit(s)</i> connected to that conductor have been discharged.
	5.3	<i>Discharge Stick(s)</i> , <i>Short-Circuiting Lead(s), Rack Earth(s)</i> etc shall be regularly inspected and maintained.



Guidance NSI 11 5.2 (Cont)



Where this is not reasonably practicable (e.g. if no spigot or 'boss' is fitted), the *Capacitor Rack* must first be discharged using a *Discharge Stick* whose 'Clip-On' end is connected to Earth, or to an adjacent **Earthed** *Capacitor Rack* before applying the clip-on end of a *Discharge Stick* to discharge the associated *Capacitor Unit(s)*.

<u>Note</u>: The use of a Discharge Stick with a continuous lead of up to 20m is permissible for this purpose.

Capacitor Unit(s) by design, dissipate stored voltage via a discharge resistor to a value of approximately 75V in around 10 minutes (20 minutes for a *Capacitor Bank*). However, in certain conditions e.g. fuse and discharge resistor failure, there is a potential for the *Capacitor Unit* voltage to remain at a value up to approximately 20 kV, dependent on the *Capacitor Bank* type and rating. The closure of *Shorting Switch(es)*, if fitted, in this instance does not discharge the faulty *Capacitor Unit*. Hence the requirement to use a *Discharge Stick* on all *Capacitor Unit*(s). A *Capacitor Unit* is made safe by connecting together both terminals to its metal container. In some configurations one of the terminals is inherently at the container and rack potential.





NSI11	6	Work on or near to DC Capacitor Units
6.1 to 6.2	6.1	When work is to be carried out on or near to DC <i>Capacitor Unit(s)</i> associated with HV Voltage Source Converters (e.g. within DRC valve sub-modules or SSSC Circuits), in addition to the requirements of 4.1 to 4.3, the Senior Authorised Person shall assess the work and decide whether it shall be carried out by one of the following methods;
		• By implementing control measures which ensure that Personnel and objects maintain a minimum Safety Distance of 0.8m from any exposed DC <i>Capacitor Unit(s)</i> which may remain Charged .
		• Or, if infringement within 0.8m from any exposed DC <i>Capacitor Unit(s)</i> is required, Danger from Capacitors which may remain Charged shall be excluded by the application of Section 6.2.
	6.2	When work is required on a DC <i>Capacitor Unit(s)</i> ; an Earthing Schedule shall be issued specifying the application of Drain Earth(s) , <i>Rack Earth(s)</i> , Voltage Testing Devices and <i>Resistive Discharge</i> <i>Devices</i> as follows;
		 Capacitor Rack(s) or conductive enclosures shall where reasonably practicable be Earthed by the application of Earthing Devices.
		• DC <i>Capacitor Unit(s)</i> to be worked on shall be proven to be discharged by the application of an appropriately rated Voltage Testing Device.
		• DC <i>Capacitor Unit(s)</i> found to have remained Charged , shall be discharged by the application of a <i>Resistive Discharge Device</i> , or be allowed additional discharge time. This shall be followed by repeating the voltage measurement to prove discharged.
		• Repeat above steps on <i>Capacitor Rack(s)</i> from ground level up to the <i>rack</i> to be worked on, plus a minimum of one <i>rack</i> above the point of work.
		General Requirements when carrying out discharging activities
		• DC Capacitor Unit(s) shall be visually inspected at a distance no less than 0.8m from exposed terminals, Charged Capacitor Rack(s), fuse(s) or associated busbars and connections in order to identify any abnormal DC Capacitor Unit(s). Where the DC Capacitor Unit(s) are housed within an Earthed enclosure, the distance of 0.8m may be reduced accordingly, however the inspection shall take place from outside of the enclosure (i.e. through open doors, inspection panels etc).
		• The <i>DC Capacitor Unit(s)</i> shall be discharged or proven discharged using a Voltage Testing Device and / or <i>Resistive Discharge Device</i> which have been assessed as being compatible with the Equipment . The insulation of the equipment used in this activity, when used correctly, is sufficient to avoid Danger from exposed Charged capacitor terminals, Charged <i>Capacitor Rack(s)</i> .



NSI 11 7.1 to 7.2	7	Performing Capacitance Measurements
1.1.0.1.2	7.1	Before performing capacitance measurements, the <i>Capacitor Unit</i> or <i>Capacitor Unit(s)</i> in a parallel group shall be discharged by a Competent Person , or by a Person under the Personal Supervision of a Competent Person .
	7.2	When measuring using a test instrument which applies a voltage greater than 75V, the requirements of 7.1 shall be repeated after every measurement performed.
Guidance NSI 11	7	Performing Capacitance Measurements
7.1 to 7.2	7.1	Refer to Section 4 Guidance for discharging Capacitor Unit(s).
		It is preferable, though not mandatory, to utilise modern Capacitance Measurement devices which exhibit low energy levels (i.e. a test voltage of less than 75V)
	7.2	If using conventional Capacitance Bridge Test Equipment;
		• Connect the capacitance bridge to a series group of <i>Capacitor Unit(s)</i> starting at the Low Voltage end of the <i>Capacitor Stack</i> .
		• Perform capacitance measurements on <i>Capacitor Unit(s)</i> , as required in that series group.
		• Continue capacitor measurements, testing the next series group of <i>Capacitor Unit(s)</i> progressing towards the High Voltage end of the <i>Capacitor Stack</i> .
		 In exceptional circumstances an individual may be used for technical expertise e.g. third party SVC technical specialists. A Competent Person shall provide Personal Supervision to the individual.
NSI 11 8.1 to 8.5	8	Handling, Storage and Transport of Capacitor Units
0.1100.0	8.1	Where there is potential for a <i>Capacitor Unit</i> to become Charged they shall not be handled unless a <i>Short-Circuiting Lead</i> has been securely applied across all terminals and to the <i>Capacitor Unit</i> container.
	8.2	Before the application of a <i>Short-Circuiting Lead</i> to a <i>Capacitor Unit</i> , it shall be discharged as defined in Sections 5 or 6.
	8.3	The application of <i>Short-Circuiting Lead(s)</i> shall be by a Competent Person , or a Person under the Personal Supervision of a Competent Person . Application of all <i>Short Circuiting Lead(s)</i> , including the sequence, number and location, shall be specified on an Earthing Schedule .
	8.4	Before a <i>Capacitor Unit</i> is removed from a <i>Capacitor Rack</i> , the clip- on <i>Short-Circuiting Lead</i> shall be replaced by a bolt-on <i>Short-Circuiting Lead</i> or shorted by non-insulated copper wire.
	8.5	Failed <i>Capacitor Unit(s) w</i> hen stored and transported shall remain short-circuited.

Guidance NSI 11	8	Handling, Storage and Transport of Capacitor Units
8.1 to 8.5	8.1	SAFETY WARNING – Where there is a potential for a <i>Capacitor Unit</i> to become Charged e.g. within a High Voltage compound they shall not be handled unless it is short-circuited by a <i>Short-Circuiting Lead</i> , refer to Figure 8.1A & 8.1B.
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	Cli	Figure 8.1A Figure 8.1B p-on <i>Short-Circuiting Lead</i> Bolt-on <i>Short-Circuiting Lead</i>
	8.3	The <i>Capacitor Unit</i> shall be short-circuited by connecting a clip-on <i>Short-Circuiting Lead</i> between the capacitor low voltage terminal and the bushing. If the capacitor unit has two bushings, a second <i>Short-Circuiting Lead</i> shall also be connected between the second bushing terminal and the <i>Capacitor Unit</i> container.
		Figure 8.3A – Applying the Clip-on <i>Short-Circuiting Lead</i>
	8.4	<i>Capacitor Unit(s)</i> shall be short-circuited using a bolt-on <i>Short-Circuiting Lead</i> or at least two complete turns of copper wire. When using copper wire, an assessment shall be made as to which diameter represents the best balance of mechanical strength versus the ability to make good electrical contact (which may be in the pitch of terminal threads). Typically, copper wire with a diameter in the range of 0.6mm ² to 1mm ² are suitable. Care must also be taken to select wire which is bare or tinned; since insulated wire will not be effective.
		<i>Capacitor Unit(s)</i> with one bushing terminal and a terminal connected to the container shall have one short-circuit connection between those two terminals.
		<i>Capacitor Unit(s)</i> with two bushing terminals insulated from the container shall be short-circuited by two connections, one between the two bushing terminals and an additional connection between one of the bushing terminals and the container.
	8.5	A failed <i>Capacitor Unit(s)</i> shall remain shorted due to the potential for the bushing to become Charged . This is due to the possibility of a trapped internal charge within the failed unit re-connecting to the bushing during movement.

Appendix A - Authorisation Matrix for Contractors Personnel

Contractor Personnel	Person	Competent Person	Authorised Person	Senior Authorised Person
Sections	N/A	N/A	N/A	N/A

Contractors Personnel

Contractors by law have a duty to provide a safe system of work for their employees.

National Grid have a duty in law to employ competent Contractors to undertake work on capacitor banks and provide them with National Grid's safe system of work to enable them to develop their own safe systems of work.

National Grid Supply Chain Management processes ensure competent Contractors are selected.

Once a competent Contractor is selected, National Grid has a duty to ensure the Contractor understands **Danger(s)** associated with undertaking work within a **High Voltage** compound, permit systems, demarcation and safe access and egress, including movement of objects and vehicles etc. This is accomplished by Contractors employees being authorised to National Grid Safety Rules and to NSI 6 and 8, via Management Procedure - NSI 30 "Appointment of Persons".

The Contractor selected shall be an expert in the area of High Voltage Capacitors and therefore no requirement for authorisation under NSI 11 is necessary.

Before a **Safety Document** is issued the **Senior Authorised Person** shall be authorised to NSI 11 and shall ensure the Contractors risk assessment and method statements cover the **Danger(s)** identified in NSI 11.

The National Grid Senior **Authorised Person will** issue a Safety **Document to** a Contractors **Competent Person** authorised to NSI 6 & 8.

<u>Note</u>: If the work involves the application of **Drain Earth(s)** the Contractors **Competent Person** shall be authorised to Management Procedure - NSI 2 "Earthing High Voltage Equipment", or the **Senior Authorised Person** shall manage the **Drain Earth(s)** via the **Safety Document** transfer process.