



**PROGRESS REPORT ON  
PROPOSED AMENDMENTS TO THE SYSTEM  
OPERATOR – TRANSMISSION OWNER CODE**

***May 2008***

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## CONTENTS

1	INTRODUCTION.....	3
2	DETAILS OF AMENDMENT PROPOSALS REFUSED .....	3
3	NEW AMENDMENT PROPOSALS .....	3
4	AMALGAMATIONS .....	3
5	SCHEDULING AND TIMETABLES .....	4
6	AUTHORITY DECISIONS.....	9

**ANNEX 1 - STC AMENDMENT REGISTER AS AT 31<sup>st</sup> May 2008**

## **1 INTRODUCTION**

This Progress Report is prepared in accordance with Section B, Paragraph 7.2.8 of the STC and provides inter alia, details of current Amendment Proposals and matters arising during the preceding month together with details of key decisions made at the STC Committee meeting held on 20<sup>th</sup> May 2008 regarding the prioritisation and timetabling of Amendment Proposals.

## **2 DETAILS OF AMENDMENT PROPOSALS REFUSED**

This section details Amendment Proposals refused by the Committee Secretary or by the STC Committee (in accordance with Section B, Paragraph 7.2.2.2 of the STC).

- None

## **3 NEW AMENDMENT PROPOSALS**

- None

## **4 AMALGAMATIONS**

This section contains details of any decision of the STC Committee to amalgamate Amendment Proposals in accordance with Section B, Paragraph 7.2.3.1 of the STC.

- None.

## 5 SCHEDULING AND TIMETABLES

This section of the Progress Report is intended to give a high level timetable for each Amendment Proposal in progress. Specific milestone dates and key phase dates will be entered when the information is available. The shaded area is intended to show progress up to present.

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA029	Investigation of a Relevant Interruption of a User and Interruption Payment obligations associated with such an interruption	18/03/08	20/05/08	20/05/08						CA029 (investigation of a Relevant Interruption of a User and Interruption Payment obligations associated with such an interruption) was proposed by National Grid and discussed at the March 2008 STC Committee meeting. The STC Committee AGREED that CA029 should proceed to the Evaluation Phase for a maximum of two months. The amendment proposal is due to complete the Evaluation Phase by May's STC Committee Meeting. The STC Committee reviewed and signed-off the Proposed Amendment Report at May's STC Committee meeting. <b>The Proposed Amendment Report is to be circulated to the industry for views on 2<sup>nd</sup> June 2008.</b>

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
<b>CA028</b>	Amendment to the governance Framework timescales	18/9/07	N/A	20/11/07	21/11/07	5/12/07	19/12/07	28/01/08	04/02/08	<p>The STC Committee agreed that CA028 should proceed to Assessment and Report Phase with a view to sending out to consultation for 10 business days. National Grid to draft the Proposed Amendment Report. The STC Committee reviewed and signed-off the Proposed Amendment Report at November's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 21 November 2007. Consultation period closed on 5 December 2007. The STC Committee agreed for CA028 to proceed to the Authority for determination.</p> <p>Authority approved CA028 on 28 January 2008 with an implementation date of 4 February 2008.</p>
<b>CA027</b>	Miscellaneous amendments as a consequential effect of CA025	18/9/07	N/A	20/11/07	21/11/07	5/12/07	19/12/07	25/01/08	01/02/08	<p>The STC Committee agreed that CA027 should proceed to Assessment and Report Phase with a view to sending out to consultation for 10 business days. National Grid to draft the Proposed Amendment Report. The STC Committee reviewed and signed-off the Proposed Amendment Report at November's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 21 November 2007. Consultation period closed on 5 December 2007. The STC Committee agreed for CA027 to proceed to the Authority for determination.</p> <p>Authority approved CA027 on 25 January 2008 with an implementation date of 1 February 2008.</p>

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
<b>CA026</b>	Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13	20/02/07	N/A	29/08/07	29/08/07	12/09/07	18/9/07	22/10/07		<p>CA026 (Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA026 should proceed directly to the Assessment and Report Phase. The STC Committee decided in the May 2007 STC Committee meeting that we would delay the approval and publication of the proposed Amendment Report to await the outcome of what is decided for CA023 as it also may be impacted by further review of CA023. At the STC Committee meeting on the 19 June 2007 the Chairman requested an extension from Ofgem. Ofgem granted a one month extension.</p> <p>At the STC Committee meeting on the 17th July Ofgem granted a further 1 month extension inline with the Grid Code proposed amendment.</p> <p>The STC Committee meeting on the 21 August requested that the Proposed Amendment Report be circulated to industry for consultation on 29 August 2007. Consultation period closes on 12 September 2007.</p> <p>The STC Committee members noted that no responses for received for the consultation. The STC Committee agreed for CA026 to proceed to the Authority for determination.</p> <p>Authority decision received on 22 October to reject CA026.</p>

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA024	Clarification of Construction Planning Assumption Data Requirements	20/02/07	N/A	29/08/07	29/08/07	12/09/07	18/9/07	22/10/07	29/10/07	<p>CA024 (Clarification of Construction Planning Assumption Data Requirements) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA024 should proceed directly to the Assessment and Report Phase. The STC Committee decided in the May 2007 STC Committee meeting that we would delay the approval and publication of the proposed Amendment Report to await the outcome of what is decided for CA023 as it also may be impacted by further review of CA023. At the STC Committee meeting on the 19 June 2007 the Chairman requested an extension from Ofgem. Ofgem granted a one month extension.</p> <p>At the STC Committee meeting on the 17th July Ofgem granted a further 1 month extension inline with the Grid Code proposed amendment.</p> <p>The STC Committee meeting on the 21 August requested that the Proposed Amendment Report be circulated to industry for consultation on 29 August 2007. Consultation period closes on 12 September 2007.</p> <p>The STC Committee members noted that no responses for received for the consultation. The STC Committee agreed for CA026 to proceed to the Authority for determination.</p> <p>Authority approved CA024 on 22 October 2007 with an implementation date of 29 October 2007.</p>

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA023	Exchange of Best View Investment Planning Data Outside the Boundary of Influence	20/02/07	N/A	17/04/07	11/5/07	17/05/07	29/08/07	4/10/07		<p>CA023 (Exchange of Best View Investment Planning Data Outside the Boundary of Influence) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA023 should proceed directly to the Assessment and Report Phase. The STC Committee reviewed and signed-off the Proposed Amendment Report at April's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 23<sup>rd</sup> May 2007. Consultation period closed on 17<sup>th</sup> May 2007. One consultation response was received from E.ON UK which raises some issues that need to be resolved before the Amendment Report can be approved. The Grid Code may need to be reviewed in addition to the STC for the proposed amendment. The STC Committee will discuss the impact of the response at the June STC Committee meeting. At the STC Committee meeting on the 19 June 2007 the Chairman requested an extension from Ofgem. Ofgem granted a one month extension.</p> <p>At the STC Committee meeting on the 17th July Ofgem granted a further 1 month extension inline with the Grid Code proposed amendment.</p> <p>The STC Committee agreed that the Amendment Report be sent to the Authority for decision.</p> <p>Authority decision received on 4 October to reject CA023.</p>

## 6 AUTHORITY DECISIONS

- None.

**ANNEX 1**  
**STC AMENDMENT REGISTER – AS AT 3<sup>rd</sup> June 2008**

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All documents referred to in the Amendment Register are available on the National Grid STC website.

<b>CA029</b>	<b>Investigation of a relevant interruption of a user and interruption payment obligations associated with such an interruption</b>		
<b>Proposer</b>	National Grid	<b>Submitted</b>	<b>Submitted</b> Normal <b>Priority</b>
<b>Amendment Description</b>	<b>Current status and progress</b>		
The amendment seeks to ensure that there is an investigation and report process in place to enable parties to identify the cause of certain interruptions to Users that results in the User being disconnected from the GB Transmission System. In addition, it seeks to oblige the relevant Transmission Owner to make the necessary Interruption Payments to NGET, which NGET will in turn be obliged under the CUSC to make such Interruption Payments to the affected User.	CA029 (investigation of a Relevant Interruption of a User and Interruption Payment obligations associated with such an interruption) was proposed by National Grid and discussed at the March 2008 STC Committee meeting. The STC Committee AGREED that CA029 should proceed to the Evaluation Phase for a maximum of two months. <b>The Proposed Amendment completed the Evaluation Phase by May's STC Committee Meeting. The Proposed Amendment Report was approved at May's STC Committee meeting and will be sent out for Industry consultation on 2<sup>nd</sup> June 2008 with views requested by 16<sup>th</sup> June 2008.</b>		

<b>CA027</b>	<b>Miscellaneous amendment as a consequential affect of CA025</b>		
<b>Proposer</b>	National Grid	<b>Submitted</b>	<b>Submitted</b> Normal <b>Priority</b>
<b>Amendment Description</b>	<b>Current status and progress</b>		
Amend Section J to include definitions for the following terms: <ul style="list-style-type: none"><li>- Agreement for Energisation</li><li>- Agreement for Interim Operational Notification</li><li>- Interim Operational Notification</li></ul> Amend Section G to reference the revised paragraph numbering as a result of CA025	The STC Committee agreed that CA027 should proceed to Assessment and Report Phase with a view to sending out to consultation for 10 business days. Consultation period closed on 5 December 2007 one response received. Amendment Report to be submitted to the Authority on 19 December 2007. Authority approved CA027 on 25 January 2008 with an implementation date of 1 February 2008.		

**ANNEX 1**  
**STC AMENDMENT REGISTER – AS AT 3<sup>rd</sup> June 2008**

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<b>CA028</b>	<b>Amendment to the governance timescales</b>		
<b>Proposer</b>	<b>Submitted</b>	<b>Submitted</b>	<b>Normal</b>
		<b>Priority</b>	
<b>Amendment Description</b>	<b>Current status and progress</b>		
Amend Section B (Governance) to: <ul style="list-style-type: none"><li>• Increase the maximum period for industry comments to be received regarding Proposed Amendment Reports to be 1 month (consultation period);</li><li>• increase the maximum period for Assessment and Report Phase to 5 months from it's initiation;</li></ul> <p>This amendment will retain the requirement for the STC Committee secretary to post the Proposed Amendment on the website once the STC Committee have approved it</p> <p>This amendment has been written to enable Users more time during a consultation period to consider and respond to Proposed Amendments that will impact Users.</p>	The STC Committee agreed that CA028 should proceed to Assessment and Report Phase with a view to sending out to consultation for 10 business days. Consultation period closed on 5 December 2007 one response received to support the proposed amendment. Amendment Report to be submitted to the Authority on 19 December 2007. Authority approved CA028 on 28 January 2008 with an implementation date of 4 February 2008.		

**ANNEX 1**  
**STC AMENDMENT REGISTER – AS AT 3<sup>rd</sup> June 2008**

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**CA026**

**Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13**

<b>Proposer</b>	National Grid	<b>Submitted</b>	13/02/07	<b>Submitted Priority</b>	Normal
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**Amendment Description**

Allow the transfer of the Construction Planning Assumptions to the Transmission Owners (TOs) in Schedules 5, 6, 7, 11 and 13.

Allow the same data to be transferred to the Other Affected TO (e.g. Section D, Part 2, Paragraph 2.2.3) as provided to the Affected TO (e.g. Section D, Part 2, Paragraph 2.2.2) in Schedules 5, 6, 7 and 13.

**Current status and progress**

CA026 (Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA026 should proceed directly to the Assessment and Report Phase. The STC Committee decided in the May 2007 STC Committee meeting that we would delay the approval and publication of the proposed Amendment Report to await the outcome of what is decided for CA023 as it also may be impacted by further review of CA023.

STC Committee agreed for CA026 to be sent out to consultation on 29 August for 10 business days.

The STC Committee members noted that no responses for received for the consultation. The STC Committee agreed for CA026 to proceed to the Authority for determination.

Authority decision received on the 22 October 2007 to reject CA026.

**CA024**

**Clarification of Construction Planning Assumption Data Requirements**

<b>Proposer</b>	National Grid	<b>Submitted</b>	13/02/07	<b>Submitted Priority</b>	Normal
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**Amendment Description**

Amend Section D, Part 2, Paragraph 3.1 such that the baseline for assessing NGET Construction Applications is the 'contracted background' as described in PC.5.4 of the Grid Code.

Amend Section D, Part 2, Paragraph 3.3 such that the latest submitted version of the 'contracted background' becomes the default data to be used when assessing a NGET Construction Application.

**Current status and progress**

CA024 (Clarification of Construction Planning Assumption Data Requirements) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA024 should proceed directly to the Assessment and Report Phase. The STC Committee decided in the May 2007 STC Committee meeting that we would delay the approval and publication of the proposed Amendment Report to await the outcome of what is decided for CA023 as it also may be impacted by further review of CA023. STC Committee agreed for CA026 to be sent out to consultation on 29 August for 10 business days.

The STC Committee members noted that no responses for received for the consultation. The STC Committee agreed for CA026 to proceed to the Authority for determination.

Authority approved CA024 on the 22 October 2007 with an implementation date of 29 October 2007.

**ANNEX 1**  
**STC AMENDMENT REGISTER – AS AT 3<sup>rd</sup> June 2008**

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**CA023**

**Exchange of Best View Investment Planning Data Outside the Boundary of Influence**

<b>Proposer</b>	National Grid	<b>Submitted</b>	13/02/07	<b>Submitted Priority</b>	Normal
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**Amendment Description**

This amendment proposes changes to Schedule 3 and Schedule 14 of the STC. The changes would allow National Grid to release the full GB Investment Planning models, including the Investment Planning Ranking Orders and Investment Planning network models, to the TOs for the purposes of investment planning.

**Current status and progress**

CA023 (Exchange of Best View Investment Planning Data Outside the Boundary of Influence) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA023 should proceed directly to the Assessment and Report Phase. The STC Committee reviewed and signed-off the Proposed Amendment Report at April's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 23<sup>rd</sup> May 2007. Consultation period closed on 17<sup>th</sup> May 2007. One consultation response was received from E.ON UK which raises some issues that need to be resolved before the Amendment Report can be approved. The Grid Code may need to be reviewed in addition to the STC for the proposed amendment. The STC Committee will discuss the impact of the response at the June STC Committee meeting. An Extraordinary GCRP meeting was held on 31st July to discuss the impact of CA023 on the Grid Code with a view to progressing a modification to the Grid Code. It is planned that the submission of the Amendment Report for CA023 coincides with the date of such a Grid Code modification anticipated at the end of December 2007. Sent to Authority for determination on 21<sup>st</sup> August 2007. Authority decision received on 4 October 2007, to reject CA023.